

Form PTO-1449 (Modified)		Atty Docket No.	Serial No.
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		HORA.P0101US	UNKNOWN
(Use several sheets if necessary)		Applicant: Horace Winston Hale	
		Filing Date HEREWITH	Group UNKNOWN

## U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Sub-class	Filing Date if Appropriate
AK	US 2002/0123806 A1	09/05/02	Reiley			
AK	US 2003/0028250 A1	02/06/03	Reiley et al.			
AR	5,571,191	11/05/96	Fitz			
AR	6,132,464	10/17/00	Martin			

## FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Sub-class	Translation	
						Yes	No

## OTHER ART

Examiner Initial	Author, Title, Date, Pertinent Pages, etc.
AK	CHIU, J.C., DAVIS, G.W., CLIFFORD, T., AND GREENSPAN M. Translaminar Facet Screw Fixation [retrieved on 05/27/2003]. Retrieved from the Internet:< <a href="http://www.spinecenter.com/papers/facet/facet.htm">http://www.spinecenter.com/papers/facet/facet.htm</a>
1	Nabil A. Ebraheim, Rongming Xu, Eric Challgren, and Richard A. Yeasting, Journal of Spiral Disorders, "Quantitative Anatomy of the Cervical Facet and the Posterior Projection of Its Inferior Facet," Vol. 10, No. 4, pp. 308-316, 1997
1	Jan P.J. van Schaik and Bart van Pinxteren, Journal of Spinal Disorders, "Curvature of the Lower Lumbar Facet Joints: Variations at Different Levels and Relationship with Orientation," Vol. 12, No. 4, pp. 341-347, 1999
1	Jike Lu, M.D., Nabil A. Ebraheim, M.D., and Richard A. Yeasting, PhD, The American Journal of Orthopedics, "Translaminar Facet Screw Placement: an Anatomic Study," pp. 550-555, August, 1999
1	Nabil A. Ebraheim, M.D., Rongming Xu, M.D., Muhammad Ahmad, M.D. and Richard A. Yeasting, PhD, "The Quantitative Anatomy of the Thoracic Facet and the Posterior Projection of Its Inferior Facet," SPINE Volume 22, Number 16, pp. 1811-1818, 1997
AK	Manohar M. Panjabi, PhD, Thomas Oxland, MASc, Koichiro Takata, M.D., Vijay Goel, PhD, Joanne Duranceau, M.S. and Martin Krag, M.D., "Articular Facets of the Human Spine, Quantitative Three-Dimensional Anatomy," Spine Volume 18, Number 10, pp. 1298-1310, 1993

Examiner Initial	Author, Title, Date, Pertinent Pages, etc.
AK	Scott D. Boden, M.D., K. Daniel Riew, M.D., Ken Yamaguchi, M.D., Thomas P. Branch, M.D., Dieter Schellinger, M.D., and Sam W. Wiesel, Atlanta Georgia, "Orientation of the Lumbar Facet Joints: Association with Degenerative Disc Disease," The Journal of Bone and Joint Surgery, Inc., Vol. 78-A, No. 3, March, 1996
PN	John M Cavanaugh, A. Cuneyt Ozakta, H. Toshihiko Yamashita, and Albert I. King, "Lumbar Facet Pain: Biomechanics Neuroanatomy and Neurophysiology," Survey Article, J. Biomechanics, Vol. 29, No. 9, pp. 1117-1129, 1996

EXAMINER	DATE CONSIDERED
<i>Annette Remes</i>	1/31/16

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

#### Information Disclosure Statement PTO-1449 (Modified)

The identification of any reference is not intended to be, and should not be understood as being, an admission that such publication, in fact, constitutes "prior art" within the meaning of applicable law since, for example, a given reference may have a later effective date than first seems apparent or the reference may have an effective date which can be antedated. The "prior art" status of any reference is a matter to be resolved during prosecution.  
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Form PTO-1449 (Modified)		Atty Docket No. HORA.P0101US	Serial No. 10/651,871
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		Applicant: Horace Winston Hale	
(Use several sheets if necessary)		Filing Date August 29, 2003	Group UNKNOWN

## U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Sub-class	Filing Date if Appropriate
AVL	Re. 36,758	06/27/00	Fitz			
	US 2003/0004572 A1	01/02/03	Goble et al.			
	3,879,767	04/29/75	Stubstad			
	4,001,896	01/11/77	Arkangel			
	4,085,466	04/24/78	Goodfellow			
	4,502,161	03/05/85	Wall			
	4,714,469	12/22/87	Kenna			
	5,127,912	06/07/92	Ray et al.			
	5,360,431	11/01/94	Puno et al.			
	5,527,314	06/18/96	Brumfield et al.			
	5,571,191	11/05/96	Fitz			
	5,591,165	01/07/97	Jackson			
	6,014,588	01/11/00	Fitz			
	6,132,464	10/17/00	Martin			
	6,565,605	05/20/03	Goble et al.			
	6,579,319	06/17/03	Goble et al.			
AK	6,610,091	08/26/03	Reiley			
AK	6,810,567	11/02/04	Reiley			

## FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Sub-class	Translation	
						Yes	No

## OTHER ART

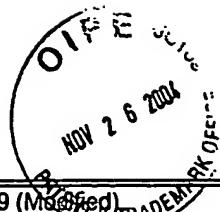
Examiner Initial	Author, Title, Date, Pertinent Pages, etc.

EXAMINER	<i>Janette Reiley</i>	DATE CONSIDERED	1/31/06
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		Applicant: <b>Horace Winston Hale</b>	
		Filing Date <b>August 29, 2003</b>	Group <b>UNKNOWN</b>

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Sub-class	Filing Date if Appropriate

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Country	Class	Sub-class	Translation	
						Yes	No

**OTHER ART**

Examiner Initial	Author, Title, Date, Pertinent Pages, etc.		
<i>AK</i>	Dudley, Hugh A.F., ed., Carter, David C., ed. and Russell, R.C.G., ed., "Spinal Injuries," <i>Rod &amp; Smith's Operative Surgery - Orthopaedics Part 1</i> , London: Butterworth-Heinemann, 1991, pg. 641.		

EXAMINER

*Annette Lemers*

DATE CONSIDERED

*1/31/06*

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		Applicant:	Horace W. Hale et al.
		Filing Date	Group
		August 29, 2003	UNKNOWN

## U.S. PATENT DOCUMENTS

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AM	US 2002/0019637 A1	02/2002	Frey et al.			
AM	US 6,436,101 B1	08/2002	Hamada			
AM	US 6,579,319 B2	06/2003	Goble et al.			

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EXAMINER	<i>Annette Ramey</i>	DATE CONSIDERED	1/31/06
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